

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

No. C 11-00136 WHA
Related to No. C 08-01510 WHA

Plaintiff,

v.

NOTICE REQUESTING SUBMISSION

CHARLES SCHWAB INVESTMENT
MANAGEMENT, INC., CHARLES
SCHWAB & CO., INC., and SCHWAB
INVESTMENTS,

Defendants.

Thomas E. Warwick and Jennifer K. Sutherland submitted a letter to the Court requesting assistance in obtaining information from the distribution agent regarding when distribution checks will be mailed. Presumably, they believe they should receive such checks (Dkt. No. 56). Counsel for the Commission is hereby directed to provide the Court with a statement in response to Mr. Warwick and Ms. Sutherland's letter no later than noon on March 14, 2013. That statement should include what steps have been taken by the distribution agent to evaluate and/or effect their payment as well as a proposed solution.

IT IS SO ORDERED.

Dated: March 7, 2013.



WILLIAM ALSUP
UNITED STATES DISTRICT JUDGE